

ICT Operations Assurance Framework

Provide a system-wide view of ICT risks

Provide stakeholders with confidence that ICT risks and processes within the State Services are identified and effectively managed

Improve system-wide ICT risk management and assurance through lifting capability

Provide a system-wide view of ICT risks

Agencies submit top ICT risks to GCIO Assurance based on guidance provided by GCIO.

GCIO Assurance analyses Agency risk information and identifies and validates key areas of ICT Operational risk.

Agencies undertake risk and control self assessment for identified areas of ICT Operational risk. GCIO Assurance reports consolidated view of system and agency risk.

GCIO Assurance and Agency discuss risks outside system / agency tolerance, and agree required actions, including but not limited to: remediation, mitigation, assurance, reporting.

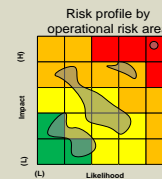
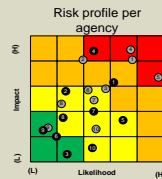
Agency executes agreed actions, with GCIO involvement as agreed. Agency reports significant ICT risks to GCIO Assurance on an as required basis.

GCIO Assurance analyses results in order to:

- Provide a system-wide view of ICT risks
- Provide agencies with information regarding trends and common challenges
- Identify areas where system-wide capability increase and education may be required

Activities executed as part of set up

Risk	Controls	I, Risk	R, Risk
1	Control A Control B	Red	Yellow
2	Control C	Yellow	Yellow
3	Control D Control E	Yellow	Green



Provide stakeholders with confidence that ICT risks and processes within the State Services are identified and effectively managed

Agency and independent assessment and assurance parties develop combined assurance plan covering identified areas of ICT Operational risk over annual or multi-year cycle as appropriate.

Agency submits Combined assurance plan to GCIO Assurance. GCIO Assurance reviews Combined assurance plan and provides feedback to Agency.

Agency executes assurance plan, including control self assessments and independent assessments / assurance, with GCIO involvement as agreed.

Agency reports results to GCIO Assurance, eg, control self assessment, risk registers, IQA reports, Assurance reports.

Outliers identified

Remediate risks outside tolerance

GCIO Assurance analyses results in order to:

- Provide agencies with information regarding trends and common challenges
- Identify areas where system-wide capability increase and education may be required

Improve system-wide ICT risk management and assurance through lifting capability

GCIO Assurance develops and implements ICT risk management and assurance education and awareness activities.

Agency undertakes self assessment of ICT risk management and assurance maturity.

Where Agency does not have ICT risk management and assurance practices, GCIO Assurance advises on development of ICT operational risk management and assurance framework and practices – prioritisation based on risk profile.

GCIO Assurance supports agency to lift capability where required – prioritisation based on risk profile.

GCIO Assurance analyses results in order to:

- Provide agencies with information regarding trends and common challenges
- Identify areas where system-wide capability increase and education may be required

