

Personal Information

To be completed by a trustee or principal officer of a society applying for a licence, or amendment to a licence, to operate gaming machines.

Notes

Suitability

The Department seeks to ensure that the gaming machine industry is free from corruption, fraud and dishonesty and that each gaming machine operation is run in a professional manner and the profits for authorised purposes are maximised.

A society will be assessed to ensure it is suitable to receive a licence and that the society's trustees and office bearers are fit and proper people to hold a gaming machine licence.

The criteria which will be applied are:

- **Honesty** Especially, although not exclusively, if the individual or society has convictions which relate to fraud, theft or dishonesty as defined by Section 2 of the Crimes Act 1961.
- **Financial Fitness** Especially, although not exclusively, if the individual or society has been subject to any insolvency or bankruptcy laws.
- **Financial Management** Especially, although not exclusively, if the individual or society has been involved in any unsound, unethical or illegal management of any company, club or organisation.
- **Previous Gaming Offences** Especially, although not exclusively, if the individual or society has any convictions against the Gaming & Lotteries Act 1977, or has had a licence cancelled or suspended.
- **Conflict of Interests** Especially, although not exclusively, if an individual or society has any financial interest in premises from which gaming machines are to be operated, the supply of gaming machines and associated services, or the provision of finance for the purchase of gaming equipment.

The particulars entered on this form will be considered as part of the application to operate gaming machines.

Declining a Trustee

Should the Department propose to decline to accept your suitability as a trustee or principal officer of an applicant society, then the Department will convey in writing the reasons for that decision to the society.

The Department will invite the society to make submissions on the matter in writing, within 20 working days, or within such further period as may be specified by the Department.

The Department will consider any submissions before reaching a final decision as to whether a licence will be granted or declined and then confirm the final decision in writing.

Privacy Act 1993

You have the right to access and correct the personal information held about you under the Privacy Act 1993. Investigations and disclosure of information may be made by or sought from the New Zealand Police, banks, credit agencies, Inland Revenue Department, Customs Department, Department of Justice and other New Zealand and overseas agencies.

1

Authorisation

Name of Society

Position in Society

Name

Date of Birth / /

Address

Occupation

Telephone

Day Evening

Hereby authorise the Secretary of Internal Affairs to make enquiries appropriate to verify or add to the information provided by me, or concerning me.

I hereby authorise and request all Courts, Police, Banks and other financial institutions, and all government agencies both domestic and foreign and any other body or person to whom this authority may be presented to provide an authorised representative of the Secretary for Internal Affairs with copies of any or all documents, records, and information relating to me which may be requested in the course of enquires concerning the issue of a licence to operate gaming machines.

Signature

Date / /

2 Personal History

Tick the appropriate boxes below. If you answer **yes** to any of the questions, give details.

- A** Have you ever been refused a gaming or liquor licence, or had a gaming licence cancelled, or been a member of an executive committee of a group or organisation which has been refused a gaming or liquor licence, or had a liquor licence cancelled for whatever reason? Yes No
- B** Have you ever been required or asked to resign from any position of trust in any organisation, society or club? Yes No
- C** Have you ever been disqualified or prohibited from being a director, or taking part in the management of a company in NZ or elsewhere? Yes No
- D** Are you or have you directly, or indirectly, been involved in the management of any company that is, or was, at any time, in receivership or liquidation? Yes No
- E** Are you, or have you ever been, bankrupt or subject to any order or arrangement under the bankruptcy or insolvency laws of any country? Yes No
- F** Are you, or have you, ever been the subject of an investigation or inquiry by the Police, Corporate Fraud Unit, Securities Commission, the Serious Fraud Office, or any other similar body in New Zealand or elsewhere? Yes No
- G** Have you ever been convicted of any offence in any country? Yes No
- H** Do you have a financial or commercial interest in:
(a) any site at which the society proposes to, or is operating gaming machines;
(b) the organisation supplying gaming machine equipment or services to the society;
(c) the provision of loans or other forms of finance to the society? Yes No
- I** Are you aware of any other member of your society who is not listed on the application form who would answer yes to any of the above questions? Yes No
- J** Do you have any knowledge which would suggest to you that any of your associates, including family members, friends or business associates would have to answer yes to any of the above questions? Yes No

If you answered **yes** to any of the above questions use this space for additional details. Should further space be required please use separate sheets of blank paper and affix securely to this form.

3 Declaration

In submitting this application I declare that I believe all the details entered in this application are true and correct.

Signature

Date

Return of Completed Form

Please attach your completed personal information form to the gaming machine application form to be submitted by your society.

Enquiries

Enquiries relating to the completion of this form may be made to the following office:

Gaming Licensing Office
State Insurance Building
46 Waring Taylor Street
PO Box 10-095
Wellington
Tel: (04) 494-0700
Fax: (04) 494 0656